



**SUPPLEMENTAL
DECLARATION
FOR UTILITY OR DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

- ☐ Declaration Submitted with Initial Filing, OR
☒ Declaration Submitted after Initial Filing
(surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 1400.9801020
First Named Inventor McCormick, et al
COMPLETE IF KNOWN
Application Number 09/246,612
Filing Date February 8, 1999
Group Art Unit 2195
Examiner Name Tang, Kenneth

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR PROCESSING CALL SIGNALING MESSAGES

the specification of which:

- ☐ is attached hereto.
☒ was filed on (MM/DD/YYYY) February 8, 1999 as United States Application Number or PCT International Application Number 09/246,612 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- ☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

- ☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

- ☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Timothy W. Markison	33,534	Christopher J. Reckamp	34,414
Paul M. Anderson	39,896	J. Gustav Larson	39,263

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:

Markison & Reckamp, P.C.
175 West Jackson Boulevard - Suite 1015
Chicago, Illinois 60604
Telephone: 312-939-9800
Facsimile: 312-939-9828

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby swear that the inventors of this patent application includes the individuals named below, which includes an additional inventor who was not named when the patent application was filed on the date indicated.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
James S.		McCormick	
Inventor's Signature		Date	
Residence	City: Stittsville	State: Ontario	Country: Canada
Post Office Address 43 Elm Crescent			
City: Stittsville	State: Ontario	ZIP: K2S 1S8	Country: Canada

Name of Additional Joint Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
David		Furshpan	
Inventor's Signature		Date	
Residence	City: Kfar Saba	State:	Country: Israel
Post Office Address Sokolov 9, Apt. #26			
City: Kfar Saba	State:	ZIP: 44256	Country: Israel

Name of Additional Joint Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])		Family Name or Surname	
Jonathan		Bosloy	
Inventor's Signature		Date	
Residence	City: Kanata	State: Ontario	Country: Canada
Post Office Address 29 Marchbrook Circle			
City: Kanata	State: Ontario	ZIP: K2W 1A1	Country: Canada

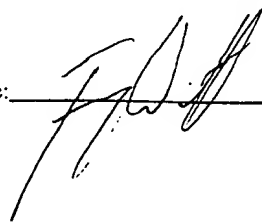
☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Pursuant to 35 U.S.C. § 118, Alcatel-Lucent Canada Inc., makes application for patent on behalf of and as agent for the inventor(s).

ALCATEL-LUCENT CANADA INC.

BY:

Name:



Title:

COO, IP Division



PTO/SB/96 (08-08)

Approved for use through 08/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: James McCormick et al.Application No./Patent No.: 09/246,612 Filed/Issue Date: 02/08/1999Entitled: METHOD AND APPARATUS FOR PROCESSING CALL SIGNALING MESSAGESAlcatel-Lucent Canada Inc., a

(Name of Assignee)

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

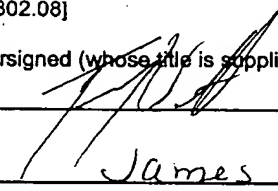
1. From: James McCormick et al. To: Newbridge Networks, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: Newbridge Networks, Inc. To: Alcatel Networks Corporation
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: Alcatel Networks Corporation To: Alcatel Canada Inc.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



SignatureJames Watt

Printed or Typed Name

COO, IP Division

Title

Aug 27/08

Date613-599-3601

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT APPLICATION

ASSIGNMENT OF U.S. PATENT APPLICATION

This is an assignment of patent rights between the inventor(s) James S. McCormick, David Furshpan, Johnathan Bosloy, Shawn McAllister, and John Burns (herein after referred to as the Inventor) and NEWBRIDGE NETWORKS, INC. having a place of business at 600 March Road, Kanata, Ontario, Canada K2K 2E6 (herein after referred to as the Assignee).

WHEREAS, Inventor has caused to be prepared a United States Patent Application in the Inventor's name entitled METHOD AND APARATUS FOR PROCESSING CALL SIGNALING MESSAGES having a docket number of 1400.9801020 (herein after referred to as the Patented Invention); and

WHEREAS, Assignee has a desire to acquire all rights, title, and interest in the Patented Invention.

NOW, THEREFORE, the parties agree as follows:

1. The Inventor hereby sells, assigns, and transfers its entire rights, title, and interest in the Patented Invention and all patents that may be granted therefrom due to divisions, reissue, substitutions, extensions, continuations, and continuations-in-part to the Assignee.
2. The Inventor hereby sells, assigns, and transfers its entire rights, title, and interest in any foreign (non U.S.) national patent application, invention registration, or equivalent (Foreign Applications), claiming approximately the same subject matter of the Patented Invention to the Assignee.
3. In consideration for the sum of one dollar (\$1) U.S. (or its equivalent) and other consideration for which both parties acknowledge to be valuable, having been conveyed to the Inventor by the Assignee for the sale, assignment, and transfer of the Patented Invention and Foreign Applications. Consideration may include at least one of: employment, an independent contractor agreement, monetary payment, or other benefit hereby acknowledged as received.
4. Inventor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue the patent for the Patented Invention, and all resulting patents therefrom, insofar as Inventor's interest is concerned, to the Assignee.
5. The Inventor further agrees to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title, and interest in the Assignee.

6. The Inventor hereby further agrees to communicate with the Assignee any facts its knows regarding any improvements of the Patented Invention while employed by Assignee and for one year thereafter.

7. The Inventor hereby yet further agrees to, at the expense of the Assignee:
- i) testify in any legal proceedings,
 - ii) sign all lawful papers,
 - iii) execute all divisional, continuation, continuation-in-part, reissue and substitute applications,
 - iv) make all lawful oaths, and assist in vesting title in the Assignee and to aid the Assignee to obtain and enforce proper protection for the subject matter of the Patented Invention in all countries, and
 - v) notify Assignee promptly (by facsimile or first class mail) of any subpoena or contact by any person other than Assignee or its agents regarding the Application or resultant patent(s) issuing therefrom, and in any event at least one week prior to any deposition, legal inquiry or legal proceeding relating to the above identified invention.

This assignment is executed on the date(s) of which the Inventor has signed.

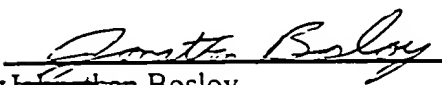
Inventor:


James S. McCormick

May 14, 1999
Date:

David Furshpan

Date:


Jonathan Bosloy

June 1, 1999
Date:


Shawn P. McAllister

May 14, 1999
Date:


John Burns

14 MAY 1999
Date:

STATEMENT OF WITNESS

I, Shirley Harbers, whose full post office address is
33 Montavista Ave. Nepean ON K2T 2P2, state that I was personally present
and did see James S. McCormick, who is personally known to me to be the person named
in the above assignment, duly sign and execute the same on the date set forth above.

Shirley Harbers
(Signature of Witness)

STATEMENT OF WITNESS

I, _____, whose full post office address is
_____, state that I was personally present
and did see David Furshpan, who is personally known to me to be the person named in
the above assignment, duly sign and execute the same on the date set forth above.

(Signature of Witness)

STATEMENT OF WITNESS

I, JOHN GRANCHELLI, whose full post office address is
13 SPEERS CRES. KANATA, ON K2M 1W1, state that I was personally present
and did see Johnathan Bosloy, who is personally known to me to be the person named in
the above assignment, duly sign and execute the same on the date set forth above.

John Granchelli
(Signature of Witness)

STATEMENT OF WITNESS

I, JOHN GRANCHELLI, whose full post office address is
13 SPEERS CRES KANATA ONTARIO K2M 1W1, state that I was personally present
and did see Shawn McAllister, who is personally known to me to be the person named in
the above assignment, duly sign and execute the same on the date set forth above.

John Granchelli
(Signature of Witness)

STATEMENT OF WITNESS

I, Shirley Harbers, whose full post office address is 33 Montavista Ave. Napier ON K2J 2P2, state that I was personally present and did see John Burns, who is personally known to me to be the person named in the above assignment, duly sign and execute the same on the date set forth above.

Shirley Harbers
(Signature of Witness)